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\*Admitted only in Maryland  
+Admitted only in Virginia  
•Practice Limited to  
Federal Agencies

June 28, 2004

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Mail Stop Issue Fee**

Re: Allowed U.S. Utility Patent Application  
Appl. No. 09/769,420; Filed: January 26, 2001  
For: **Substituted Nicotinamides and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof**  
Inventors: Cai *et al.*  
Our Ref: 1735.0440001/RWE/RAS

Sir:

In response to the **Notice of Allowance and Issue Fee Due** dated May 5, 2004, the following documents are forwarded for appropriate action by the U.S. Patent and Trademark Office:

1. PTO-2038 Credit Card Payment Form;
2. Fee Transmittal (Form PTO/SB/17);
3. Issue Fee Transmittal (Form PTOL-85B);
4. Letter to PTO Draftsman: Submission of Formal Drawings;
5. Figures 1A-B, 2, 3A-B, 4A-B, and 5; and
6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby

Commissioner for Patents  
June 28, 2004  
Page 2

petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert A. Schwartzman, Ph.D.  
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RAS/law  
Enclosures

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## Addendum

1. Publication fee \$300.00;
2. Advance copies of patents (10) \$30.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cai *et al.*

Appl. No.: 09/769,420

Filed: January 26, 2001

For: **Substituted Nicotinamides and  
Analogs as Activators of Caspases  
and Inducers of Apoptosis and the  
Use Thereof**

Confirmation No.: 4240

Art Unit: 1624

Examiner: McKenzie, Thomas C.

Atty. Docket: 1735.0440001/RWE/RAS

**Letter to PTO Draftsman: Submission of Formal Drawings**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are five(5) sheets of formal drawings with Figures 1A-B, 2, 3A-B, 4A-B and 5, corresponding to the informal drawings submitted with the above-captioned application. Identification of the drawings is provided in accordance with 37 C.F.R. § 1.84(c). Acknowledgment of the receipt, approval, and entry of these formal drawings into this application is respectfully requested.

It is not believed that an extension of time is required, other than any already provided herewith. However, if an extension of time is needed to prevent abandonment of the application, then such extension of time is hereby petitioned. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,  
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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Date: June 28, 2004

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